



**YELLOW
STONE**

At Yellow Stone, we deliver our expert services in Investigation, Risk Management, Forensic Audits, Internal Audits, and Transformation requirements.

We strive to produce tangible outcomes, expertly navigate intricate issues, and achieve unparalleled client satisfaction.

We commit to maintaining Trust, Quality, and Integrity (TQI) with all our stakeholders. Our objective is to provide the best possible solutions to our clients to manage risks and recommend the required suggestions.

Our Services

FORENSIC ADVISORY



Detective Services

- Forensic and Regulatory Investigations
- Corporate Intelligence
- Forensic Digital Technology



Monitoring Services

- Asset Tracing
- Cash Flow Monitoring
- End-use monitoring of funds



Preventive Services

- Forensic procedures in the audit of companies
- Anti-Bribery and Corruption
- Sexual harassment Complaints
- Whistle-Blower Complaints
- Anti-Money Laundering



Regulatory Services

- Insolvency and Bankruptcy Code, 2016 (IBC)
- NPA Forensic Audit under RBI Guidelines for Banks

RISK ADVISORY AND MANAGEMENT CONSULTING



Review of Process and Internal Controls



Fraud Risk Assessment and Recommendations



Review of efficiency and Cost Reduction



Review / Preparation of SOPs



Financial and Commercial Due Diligence



Business Process Solutions



Yellow Stone Solutions



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SOHAM SHAH

Certified Fraud Examiner (USA) | Chartered Accountant | Company Secretary | Bachelor of Commerce

Profile Summary

Soham has over **7 years of post-qualification experience in Investigations, Forensic Audits, Due Diligence, Internal Audits, and Special Audits**, honed during his tenure at reputed consulting firms like **Grant Thornton** and **BDO**. His time at these leading firms has equipped him with deep knowledge and specialized skills in addressing occupational fraud, conducting financial analysis, handling whistleblower complaints, and performing risk assessments.

Soham has worked on **national marquee cases** which include one of the **largest fraud in the Gems / Jewellery sector and Real Estate sector**. He has successfully collaborated with **Enforcement Agencies** and **Corporations** on high-profile investigations and risk management projects, leveraging the robust methodologies and industry insights gained.

Work Experience

- **Investigations :**

Conducted multiple assignments of corporate investigations in various sectors such as Dairy, Information Technology, Manufacturing, Banking, etc. revealing kickbacks from vendors, misuse of access rights, employee harassment, and management oversights. Conducted interviews of the suspects basis the observations noted during the review. Have used e-discovery tools such as Intella and Relativity for performing the investigations.

- **Forensic and Transaction Audits:**

Conducted forensic audits for multiple banks and NBFCs to determine whether the NPA accounts are fraudulent. Conducted multiple transaction audits for resolution professionals to determine preferential, undervalued, fraudulent, and extortionate transactions under the provisions of the Insolvency and Bankruptcy Code.

- **Regulatory Collaborations:**

Worked with CBI, SFIO, SEBI, and EOW to uncover instances of fund siphoning, negligence by auditors and lenders, and established substantial evidence in high-stakes cases.

- **Fraud Risk Assessment and Proactive Assignments:**

Reviewed and evaluated fraud risk for over 100 listed companies across various sectors and recommended effective solutions and procedures based on the assessment.

- **Special Audits**

Conducted special audits (both proactive and reactive) as per the requirements of the Management such as to evaluate the efficiency and effectiveness of business operations, financial mismanagement or misappropriation of funds, review of the recorded inventory, royalty calculations, assessment of input-output ratio, etc.

- **Risk Assessments**

Conducted comprehensive risk assessments for multiple sectors, identifying key vulnerabilities and recommending strategic risk mitigation measures to enhance organizational resilience.

